

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO Box 1450 Alexandra, Virginia 22313-1450 www.repto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/613,125	07/07/2003	Kyung-Hun Jang	249/387	7220
27849 7550 0218/2009 LEE & MORSE, P.C. 3141 FAIRVIEW PARK DRIVE			EXAMINER	
			SHAN, APRIL YING	
SUITE 500 FALLS CHUE	RCH, VA 22042		ART UNIT	PAPER NUMBER
	,		2435	
			MAIL DATE	DELIVERY MODE
			02/18/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Application No. Applicant(s) 10/613 125 JANG ET AL. Office Action Summary Examiner Art Unit APRIL Y. SHAN 2435 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 06 November 2008. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-5, 7-18 and 20 -25 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) _____ is/are allowed. 6) Claim(s) 1-5, 7-18 and 20 -25 is/are rejected. 7) Claim(s) _____ is/are objected to. 8) Claim(s) _____ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)

Notice of Draftsperson's Patent Drawing Review (PTO-948)

Information Disclosure Statement(s) (PTO/S5/08)
 Paper No(s)/Mail Date ______.

Paper No(s)/Mail Date.

6) Other:

5) Notice of Informal Patent Application

Application/Control Number: 10/613,125 Page 2

Art Unit: 2435

DETAILED ACTION

Response to Amendment

- The Applicant's amendment, filed 06 November 2008, has been received, entered into the record, and respectfully and carefully considered.
- As a result of the amendment, claims 1, 7, 12 and 21-23, have been amended.
 Claims 6 and 19 are canceled. No new claims have been added. Therefore, claims 1-5, 7-18 and 20 -25 are now presented for examination.
- Any claim objection/rejection not repeated below is withdrawn due to Applicant's amendment.

Claim Rejections - 35 USC § 112

4. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

5. Claims 1-5, 7-18, 20 -22 and 25 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

As per claims 1, 9, 12 and 21, "transmitting the at least one modified second group key to at least one wireless terminal using the initial second group key" is being recited. The examiner respectfully reviewed Applicant's remarks (pages 11-14) and Applicant's original disclosure. In the remarks, the Applicant argues the amended

Art Unit: 2435

claims disclose at least one modified second group key, which is transmitted, using the initial second group key, is transmitted and used during use of the first group key as argued by the Applicant. It appears to the examiner the Applicant misinterpreted his own amendment. Please see page 10, paragraph [0025] of the instant specification. In the cited instant specification, the Applicant discloses the modified second group key is encoded using the non-modified second group key, and is transmitted to the N-1 wireless terminals in the ad-hoc network. In another word, the initial second group key is not for transmitting at all.

The Applicant is respectfully reminded that "When filling an amendment an applicant should show support in the original disclosure for new or amended claims." M.P.EP. § 2163.II.A.3 (b).

Any claim not specifically addressed, above, is being rejected as incorporating the deficiencies of a claim upon which it depends.

Claim Rejections - 35 USC § 103

- The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

Application/Control Number: 10/613,125
Art Unit: 2435

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- Resolving the level of ordinary skill in the pertinent art.
- Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 8. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 9. Claims 1-5, 8-10 and 11-18, 21 and 23-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Asokan et al. ("Key agreement in ad hoc networks", Computer Communications, Volume 23, Number 17, 1 November 2000) in view of Menezes et al. ("Applied Cryptography", pages 551-553, published on October 17, 1996, which is provided by the Applicant).
- 10. As per claims 1 and 12, Asokan et al. discloses a cryptographic method/system using dual keys in a wireless local area network (LAN) system, comprising:
- (a) generating a first group key ("At the end of the protocol run, each player shares a key with the leader" e.g. page 6. Please note a key corresponds to Applicant's first group key) in N wireless terminals (forming an ad-hoc group (an ad-hoc meeting -e.g. p1. "They would like to set up a wireless network session...for the during

Art Unit: 2435

of the meeting"), where N is equal to or greater than two (P5, "There are two parties A and B which share a weak secret P" and P6 "We can slightly modify this....to a contributory multi-party protocol");

(b) generating an initial second group key in a main wireless terminal (a leader e.g. P6) to perform a key distribution center function among the N wireless terminals in response to a request from one of (N-1) sub wireless terminals ("The leader will broadcast the message in step 1...An additional round will be needed for the leader to pick a common session key and distribute it to the members of the group...he shares with them" - e.g. page 6. Please note on pages 5-6 of Asokan et al. reference. "In step 1 A sends Ea encrypted with the weak secret P...One obvious way to extend this protocol to the multi-party case is to elect a leader", which met the claimed limitation of a request from one of (N-1) sub wireless terminals) the request being communicated using the first group key, and transmitting the initial second group key to (N-1) sub wireless terminals (P6, "An additional round will...to pick a common session key and distribute it the members of the group....he shares with them". Asokan et al. also discloses on page 3, "1.3 Password-based Authenticated Key Exchange...by choosing a fresh password and sharing it among those present in the room...Therefore, we need a protocol to derive a strong shared session key from the weak shared password" - e.g. page 3. Please note a weak shared password corresponds to Applicant's first group key and a strong shared session key corresponds to Applicant's second group key. Asokan et al. further discloses on page 4, "The basic secrecy requirement is that only those players that know the initial password should learn the resulting session key,

Art Unit: 2435

which met the claimed limitation of the request being communicated using the first group key. In other words, the requesting member must prove his/her membership in the request by using the initial password (i.e. first group key); and

- (c) encoding data using the initial second group key, and transmitting the encoded data between the N wireless terminals (P4 "In a landmark paper [4], Bellovin and Merrit....encrypted key exchange (EKE) and P5 "But the basic form of the generic protocol remains the same." Inherently, Asokan et al. teaches after the protocol is complete, the multi parties must communicate using the session key (the second group key) to encoding data and transmitting the encoded data among the N wireless terminals since the protocol is using encrypted key exchange (EKE), a well known protocol invented by Bellovin and Merrit disclosed on the P4 of the Asokan et al. reference).
 - (d) modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal, and (e) transmitting the at least one modified second group key to the (N-1) sub wireless terminals using the initial second group key, wherein at least one modified second group key is transmitted and used to encode data between the N wireless terminals during use of the first group key (P5, "session key"- a session key is a key that is just used for one communication session and then discarded, Page 20, "multi-party key agreement will need to address the issues of synchronization and resilience in face of benign faults... and page 11, "at the end of the round, all four players will have the same key...", "The time needed will be the same as that of one two-party key

Art Unit: 2435

exchange" - page 12, "Synchronous rounds could be implemented if all nodes have loosely synchronized clocks" - page 12, "...key exchange can be done efficiently, in terms of the number of communication rounds.." - page 10, "The protocol proceeds through d rounds, 1,..., d." - page 11 and "...between themselves in k-1 rounds...ln the end of those k-1 rounds each group will have a shared key. For all 2k members to agree on a single shared key in round k...The time needed will be the same as that of one tow-party key exchange. Notice in round 1...In round k....doing key exchange in parallel" - page 12). Asokan et al. implicitly discloses modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal and transmitting the modified second group key to the (N-1) sub wireless terminals, wherein at least one modified second group key is transmitted and used to encode data during use of the first group key since to an ordinary skill in the art that a session key in the Asokan et al. reference is for a session and then need to be replaced and the password (i.e. first group key) is always present to verify membership for broadcasting a new session key the same way as the initial session key (i.e. initial second group key) is transmitted

In order to make the record clearer, Menezes et al. expressly discloses modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal ("Cryptoperiods, long-term keys, and short-term keys...The cryptoperiod of a key is the time period over which it is valid for use by legitimate parties...Cryptoperiods may serve to....4. limit the time

Art Unit: 2435

available for computationally intensive cryptanalytic attacks...short-term keys. These include keys established by key transport or key agreement, and often used as data keys or session keys for a single communication session...Cryptoperiods limit the use of keys to fixed periods, after which they must be replaced....13.11

Remark...The term short as used in short-time keys refers to the intended time of the key usage by legitimate parties, rather than the protection lifetime...For example, an encryption key used for only a single session..." – e.g. page 553 of Menezes et al.

It would have been obvious to a person with ordinary skill in the art at the time of the invention to incorporate Menezes et al.'s modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal into Asokan et al.'s motivated by "to limit the information (related to a specific key) available for cryptanalysis, limit exposure in the case of compromise of a single key; limit the use of a particular technology to its estimated effective lifetime; and limit the time available for computationally intensive cryptanalytic attacks" (e.g. page 553 of Menezes et al.)

As per claims 2 and 13, Asokan et al. – Menezes et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses wherein the first group key is generated using a group password of the ad-hoc group (P3, "choosing a fresh password and sharing it among those present in the room, P4 "In a

Art Unit: 2435

landmark paper [4]....encrypted key exchange (EKE)...derive a strong and P5 "shared key starting from only a weak shared key")

As per claims 3 and 14, Asokan et al. – Menezes et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses wherein the main wireless terminal encodes the second group key using the first group key, and transmits the encoded second group key to the (N-1) wireless terminals (P5, "In step 1 A sends Ea encrypted with the weak secret P....At this point, each player will compute the session key as K=f(Sa, Sb) and P6, "One obvious way....and distribute it to the members of the group using the pairwise session keys he shares with them").

As per claims 4 and 15, Asokan et al. – Menezes et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses wherein the main wireless terminal is a creator of the ad-hoc group (P 18, "for example,...the leader Mn has a greater say in the final session key...before finding one that leads to a particular type of K" and "In some ad-hoc networks there may already be a natural leader or ordering").

As per claims 5 and 16, Asokan et al. – Menezes et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further inherently discloses wherein when the main wireless terminal is withdrawn from the ad-hoc group, the main wireless terminal transfers a function of key distribution center to a sub wireless terminal

Art Unit: 2435

selected from among the (N-1) sub wireless terminals, so that the sub wireless terminal acts as the main wireless terminal (P16, "Therefore, when there is no a.priori leader or ordering...The general approach...This computation can be car- and P17, "ried out...to their distance from the reference value" and P20, "If groups are dynamic, the session key needs to updated when the composition of the group changes").

As per claims 8 and 17-18, Asokan et al. – Menezes et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses:

if the first group key is created, encoding a second group key request message from one of the (N-1) sub wireless terminals, and transmitting the encoded second group key request message to the main wireless terminal (Page 5, "B extracts Ea, generates R randomly, encrypts it with Ea, and returns it to A in step 2");

decoding the second group key request message, using the first group key, in the main wireless terminal (P5, "The goal of the protocol is for A and B to mutually authenticate each other based on P, and to agree on a strong session key K...each player will compute the session key as K=f(Sa, Sb)"); and

creating a second group key according to the decoded second group key request message, in the main wireless terminal (P6, "an additional round...he shares with them").

As per claim 9, Asokan et al. – Menezes et al. discloses the claimed method of steps as applied above in claim 1. Therefore, Asokan et al. – Menezes et al. discloses

Art Unit: 2435

a computer readable medium having embodied thereon the claimed computer program for carrying out the method of steps.

As per **claim 10**, Asokan et al. – **M**enezes et al. discloses the claimed method of steps as applied above in claim 3. Therefore, Asokan et al. – **M**enezes et al. discloses a computer readable medium having embodied thereon the claimed computer program for carrying out the method of steps.

As per claim 11, Asokan et al. – Menezes et al. discloses the claimed method of steps as applied above in claim 8. Therefore, Asokan et al. – Menezes et al. discloses a computer readable medium having embodied thereon the claimed computer program for carrying out the method of steps.

As per claim 21 and 23, they are rejected using the same rationale of rejecting claims 1 and 12 above.

As per claims 24-25, they are rejected using the same rationale of rejecting claims 1. 8. 12 and 17 above.

11. Claims 7, 20 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Asokan et al. – Menezes et al. as applied to claims 1-6, 8-10 and 11-19 and 21 above, further in view of Schneier ("Applied Cryptography" second edition, 1996)

As per claims 7, 20 and 22, Asokan et al. further disclose (P4 "In a landmark paper [4], Bellovin and Merrit....encrypted key exchange (EKE) and P5 "But the basic form of the generic protocol remains the same." Inherently, Asokan et al. teaches after

Art Unit: 2435

the protocol is complete, the multi parties must communicate using the session key (the second group key) to encoding data and transmitting the encoded data among the N wireless terminals since the protocol is using encrypted key exchange (EKE), a well known protocol invented by Bellovin and Merrit disclosed on the P4 of the Asokan et al. reference). In another word, Asokan et al. disclose transmitting the encrypted key to the N-1 sub wireless terminals.

The difference between the claimed invention and that disclosed in Asokan et al. – Menezes et al. is the latter does not disclose the claimed feature of the modified second group key is encoded using a non-modified second group key, and the encrypted key is the encoded second group key. However, such missing feature in Asokan et al. – Menezes et al. is clearly taught section 8.6 Updating keys on page 180, of the aforementioned Schneier reference, the same field endeavor of key management in the network environment. It would have been obvious for a person having ordinary skill in the art to incorporate such well known feature as taught in the Schneier reference into the Asokan et al. – Menezes et al. method motivated by to provide "an easier solution is to generate a new key from the old key" (Schneier, Section 8.6 on page 180)

Claims 1-5, 8-10 and 11-18, 21 and 23-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Asokan et al. ("Key agreement in ad hoc networks",
 Computer Communications, Volume 23, Number 17, 1 November 2000) in view of Billhartz et al. (U.S. Pub. No. 20030210787).

Art Unit: 2435

As per claims 1 and 12, Asokan et al. discloses a cryptographic method/system using dual keys in a wireless local area network (LAN) system, comprising:

- (a) generating a first group key ("At the end of the protocol run, each player shares a key with the leader" e.g. page 6. Please note a key corresponds to Applicant's first group key) in N wireless terminals (forming an ad-hoc group (an ad-hoc meeting –e.g. p1, "They would like to set up a wireless network session...for the during of the meeting"), where N is equal to or greater than two (P5, "There are two parties A and B which share a weak secret P" and P6 "We can slightly modify this....to a contributory multi-party protocol");
- (b) generating an initial second group key in a main wireless terminal (a leader e.g. P6) to perform a key distribution center function among the N wireless terminals in response to a request from one of (N-1) sub wireless terminals ("The leader will broadcast the message in step 1...An additional round will be needed for the leader to pick a common session key and distribute it to the members of the group...he shares with them" e.g. page 6. Please note on pages 5-6 of Asokan et al. reference, "In step 1 A sends Ea encrypted with the weak secret P...One obvious way to extend this protocol to the multi-party case is to elect a leader", which met the claimed limitation of a request from one of (N-1) sub wireless terminals) the request being communicated using the first group key, and transmitting the initial second group key to (N-1) sub wireless terminals (P6, "An additional round will...to pick a common session key and distribute it the members of the group....he shares with them". Asokan et al. also discloses on page 3, "1.3 Password-based Authenticated Key Exchange...by choosing

Art Unit: 2435

a fresh password and sharing it among those present in the room...Therefore, we need a protocol to derive a strong shared session key from the weak shared password" – e.g. page 3. Please note a weak shared password corresponds to Applicant's first group key and a strong shared session key corresponds to Applicant's second group key. Asokan et al. further discloses on page 4, "The basic secrecy requirement is that only those players that know the initial password should learn the resulting session key, which met the claimed limitation of the request being communicated using the first group key. In other words, the requesting member must prove his/her membership in the request by using the initial password (i.e. first group key); and

Page 14

- (c) encoding data using the initial second group key, and transmitting the encoded data between the N wireless terminals (P4 "In a landmark paper [4], Bellovin and Merrit....encrypted key exchange (EKE) and P5 "But the basic form of the generic protocol remains the same." Inherently, Asokan et al. teaches after the protocol is complete, the multi parties must communicate using the session key (the second group key) to encoding data and transmitting the encoded data among the N wireless terminals since the protocol is using encrypted key exchange (EKE), a well known protocol invented by Bellovin and Merrit disclosed on the P4 of the Asokan et al. reference).
 - (d) modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal, and (e) transmitting the at least one modified second group key to the (N-1) sub wireless terminals using the initial second group key, wherein at least one modified second

Art Unit: 2435

group key is transmitted and used to encode data between the N wireless terminals during use of the first group key (P5. "session key"- a session key is a key that is just used for one communication session and then discarded, Page 20, "multi-party key agreement will need to address the issues of synchronization and resilience in face of benign faults... and page 11, "at the end of the round, all four players will have the same key...", "The time needed will be the same as that of one two-party key exchange" - page 12. "Synchronous rounds could be implemented if all nodes have loosely synchronized clocks" - page 12, "...key exchange can be done efficiently, in terms of the number of communication rounds.." - page 10. "The protocol proceeds through d rounds, 1,..., d." - page 11 and "...between themselves in k-1 rounds...In the end of those k-1 rounds each group will have a shared key. For all 2k members to agree on a single shared key in round k...The time needed will be the same as that of one tow-party key exchange. Notice in round 1...In round k,...doing key exchange in parallel" - page 12). Asokan et al. implicitly discloses modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal and transmitting the modified second group key to the (N-1) sub wireless terminals, wherein at least one modified second group key is transmitted and used to encode data during use of the first group key since to an ordinary skill in the art that a session key in the Asokan et al. reference is for a session and then need to be replaced and the password (i.e. first group key) is always present to verify membership for broadcasting a new

Art Unit: 2435

session key the same way as the initial session key (i.e. initial second group key) is transmitted.

In order to make the record clearer, Billhartz et al. expressly discloses modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal ("Of course, the secret key may be periodically (e.g. daily, monthly, etc.) changed in some embodiments, if even further security enhancements are desired..." – e.g. lines 5-7 of par. [0043] and please also note secret key in the Billhartz et al. reference is "shared between wireless stations ...The secret key is used to encrypt data packets..." in par. [0026]).

It would have been obvious to a person with ordinary skill in the art at the time of the invention to incorporate Billhartz et al.'s modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal into Asokan et al. motivated by "further security enhancements are desired, as will be appreciated by those skill in the art", as disclosed by Billhartz et al. (e.g. lines 6-7 of Billhartz et al.)

As per claims 2 and 13, Asokan et al. – Billhartz et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses wherein the first group key is generated using a group password of the ad-hoc group (P3, "choosing a fresh password and sharing it among those present in the room, P4 "In a

Art Unit: 2435

landmark paper [4]....encrypted key exchange (EKE)...derive a strong and P5 "shared key starting from only a weak shared key")

As per claims 3 and 14, Asokan et al. – Billhartz et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses wherein the main wireless terminal encodes the second group key using the first group key, and transmits the encoded second group key to the (N-1) wireless terminals (P5, "In step 1 A sends Ea encrypted with the weak secret P....At this point, each player will compute the session key as K=f(Sa, Sb) and P6, "One obvious way....and distribute it to the members of the group using the pairwise session keys he shares with them").

As per claims 4 and 15, Asokan et al. – Billhartz et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses wherein the main wireless terminal is a creator of the ad-hoc group (P 18, "for example,...the leader Mn has a greater say in the final session key...before finding one that leads to a particular type of K" and "In some ad-hoc networks there may already be a natural leader or ordering").

As per claims 5 and 16, Asokan et al. – Billhartz et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further inherently discloses wherein when the main wireless terminal is withdrawn from the ad-hoc group, the main wireless terminal transfers a function of key distribution center to a sub wireless terminal

Art Unit: 2435

selected from among the (N-1) sub wireless terminals, so that the sub wireless terminal acts as the main wireless terminal (P16, "Therefore, when there is no a.priori leader or ordering...The general approach...This computation can be car- and P17, "ried out...to their distance from the reference value" and P20, "If groups are dynamic, the session key needs to updated when the composition of the group changes").

As per claims 8 and 17-18, Asokan et al. – Billhartz et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses:

if the first group key is created, encoding a second group key request message from one of the (N-1) sub wireless terminals, and transmitting the encoded second group key request message to the main wireless terminal (Page 5, "B extracts Ea, generates R randomly, encrypts it with Ea, and returns it to A in step 2");

decoding the second group key request message, using the first group key, in the main wireless terminal (P5, "The goal of the protocol is for A and B to mutually authenticate each other based on P, and to agree on a strong session key K...each player will compute the session key as K=f(Sa, Sb)"); and

creating a second group key according to the decoded second group key request message, in the main wireless terminal (P6, "an additional round...he shares with them").

As per claim 9, Asokan et al. – Billhartz et al. discloses the claimed method of steps as applied above in claim 1. Therefore, Asokan et al. discloses a computer

Art Unit: 2435

readable medium having embodied thereon the claimed computer program for carrying out the method of steps.

As per **claim 10**, Asokan et al. – Billhartz et al. discloses the claimed method of steps as applied above in claim 3. Therefore, Asokan et al. discloses a computer readable medium having embodied thereon the claimed computer program for carrying out the method of steps.

As per claim 11, Asokan et al. – Billhartz et al. discloses the claimed method of steps as applied above in claim 8. Therefore, Asokan et al. discloses a computer readable medium having embodied thereon the claimed computer program for carrying out the method of steps.

As per claim 21 and 23, they are rejected using the same rationale of rejecting claims 1 and 12 above.

As per claims 24-25, they are rejected using the same rationale of rejecting claims 1, 8, 12 and 17 above.

13. Claims 7, 20 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Asokan et al. – Billhartz et al. as applied to claims 1-6, 8-10 and 11-19 and 21 above, further in view of Schneier ("Applied Cryptography" second edition, 1996)

As per claims 7, 20 and 22, Asokan et al. further disclose (P4 "In a landmark paper [4], Bellovin and Merrit....encrypted key exchange (EKE) and P5 "But the basic form of the generic protocol remains the same." Inherently, Asokan et al. teaches after the protocol is complete, the multi parties must communicate using the session key (the

Art Unit: 2435

second group key) to encoding data and transmitting the encoded data among the N wireless terminals since the protocol is using encrypted key exchange (EKE), a well known protocol invented by Bellovin and Merrit disclosed on the P4 of the Asokan et al. reference). In another word, Asokan et al. disclose transmitting the encrypted key to the N-1 sub wireless terminals.

The difference between the claimed invention and that disclosed in Asokan et al. – Billhart et al. is the latter does not disclose the claimed feature of the modified second group key is encoded using a non-modified second group key, and the encrypted key is the encoded second group key. However, such missing feature in Asokan et al. – Billhartz et al. is clearly taught section 8.6 Updating keys on page 180, of the aforementioned Schneier reference, the same field endeavor of key management in the network environment. It would have been obvious for a person having ordinary skill in the art to incorporate such well known feature as taught in the Schneier reference into the Asokan et al. – Billhartz et al. method motivated by to provide "an easier solution is to generate a new key from the old key" (Schneier, Section 8.6 on page 180)

14. Claims 1-5, 8-10 and 11-18, 21 and 23-25 are rejected under as being unpatentable over Asokan et al. ("Key agreement in ad hoc networks", Computer Communications, Volume 23, Number 17, 1 November 2000) in view of Kung et al. (U.S. Patent No. 6,889,321).

Art Unit: 2435

As per claims 1 and 12, Asokan et al. discloses a cryptographic method/system using dual keys in a wireless local area network (LAN) system, comprising:

- (a) generating a first group key ("At the end of the protocol run, each player shares a key with the leader" e.g. page 6. Please note a key corresponds to Applicant's first group key) in N wireless terminals (forming an ad-hoc group (an ad-hoc meeting –e.g. p1, "They would like to set up a wireless network session...for the during of the meeting"), where N is equal to or greater than two (P5, "There are two parties A and B which share a weak secret P" and P6 "We can slightly modify this....to a contributory multi-party protocol");
- (b) generating an initial second group key in a main wireless terminal (a leader e.g. P6) to perform a key distribution center function among the N wireless terminals in response to a request from one of (N-1) sub wireless terminals ("The leader will broadcast the message in step 1...An additional round will be needed for the leader to pick a common session key and distribute it to the members of the group...he shares with them" e.g. page 6. Please note on pages 5-6 of Asokan et al. reference, "In step 1 A sends Ea encrypted with the weak secret P...One obvious way to extend this protocol to the multi-party case is to elect a leader", which met the claimed limitation of a request from one of (N-1) sub wireless terminals) the request being communicated using the first group key, and transmitting the initial second group key to (N-1) sub wireless terminals (P6, "An additional round will...to pick a common session key and distribute it the members of the group....he shares with them". Asokan et al. also discloses on page 3, "1.3 Password-based Authenticated Key Exchange...by choosing

Art Unit: 2435

a fresh password and sharing it among those present in the room...Therefore, we need a protocol to derive a strong shared session key from the weak shared password" – e.g. page 3. Please note a weak shared password corresponds to Applicant's first group key and a strong shared session key corresponds to Applicant's second group key. Asokan et al. further discloses on page 4, "The basic secrecy requirement is that only those players that know the initial password should learn the resulting session key, which met the claimed limitation of the request being communicated using the first group key. In other words, the requesting member must prove his/her membership in the request by using the initial password (i.e. first group key); and

- (c) encoding data using the initial second group key, and transmitting the encoded data between the N wireless terminals (P4 "In a landmark paper [4], Bellovin and Merrit....encrypted key exchange (EKE) and P5 "But the basic form of the generic protocol remains the same." Inherently, Asokan et al. teaches after the protocol is complete, the multi parties must communicate using the session key (the second group key) to encoding data and transmitting the encoded data among the N wireless terminals since the protocol is using encrypted key exchange (EKE), a well known protocol invented by Bellovin and Merrit disclosed on the P4 of the Asokan et al. reference).
 - (d) modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal, and (e) transmitting the at least one modified second group key to the (N-1) sub wireless terminals using the initial second group key, wherein at least one modified second

Art Unit: 2435

group key is transmitted and used to encode data between the N wireless terminals during use of the first group key (P5. "session key"- a session key is a key that is just used for one communication session and then discarded, Page 20, "multi-party key agreement will need to address the issues of synchronization and resilience in face of benign faults... and page 11, "at the end of the round, all four players will have the same key...", "The time needed will be the same as that of one two-party key exchange" - page 12. "Synchronous rounds could be implemented if all nodes have loosely synchronized clocks" - page 12, "...key exchange can be done efficiently, in terms of the number of communication rounds.." - page 10. "The protocol proceeds through d rounds, 1,..., d." - page 11 and "...between themselves in k-1 rounds...In the end of those k-1 rounds each group will have a shared key. For all 2k members to agree on a single shared key in round k...The time needed will be the same as that of one tow-party key exchange. Notice in round 1...In round k,...doing key exchange in parallel" - page 12). Asokan et al. implicitly discloses modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal and transmitting the modified second group key to the (N-1) sub wireless terminals, wherein at least one modified second group key is transmitted and used to encode data during use of the first group key since to an ordinary skill in the art that a session key in the Asokan et al. reference is for a session and then need to be replaced and the password (i.e. first group key) is always present to verify membership for broadcasting a new

Art Unit: 2435

session key the same way as the initial session key (i.e. initial second group key) is transmitted.

In order to make the record clearer, Kung et al. expressly discloses modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal ("...As such, the encryption key...may be repeatedly updated and changed at various time intervals. The repeated updates may be at periodic (e.g., daily)..." – e.g. col. 34, lines 25-46 and col. 2., lines 46-58 of Kung et al.).

It would have been obvious to a person with ordinary skill in the art at the time of the invention to incorporate Kung et al.'s modifying the initial second group key in the main wireless terminal according to a modification time period, predetermined in the main wireless terminal into Asokan et al. motivated by to enhance security in data communication so that a hacker that breaks an encryption key at any point in time will not have continuous communication security intrusion (e.g. Kung et al. col. 2, lines 46-54).

As per claims 2 and 13, Asokan et al. – Kung et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses wherein the first group key is generated using a group password of the ad-hoc group (P3, "choosing a fresh password and sharing it among those present in the room, P4 "In a landmark paper

Art Unit: 2435

[4]...encrypted key exchange (EKE)...derive a strong and P5 "shared key starting from only a weak shared key")

As per claims 3 and 14, Asokan et al. – Kung et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses wherein the main wireless terminal encodes the second group key using the first group key, and transmits the encoded second group key to the (N-1) wireless terminals (P5, "In step 1 A sends Ea encrypted with the weak secret P....At this point, each player will compute the session key as K=f(Sa, Sb) and P6, "One obvious way....and distribute it to the members of the group using the pairwise session keys he shares with them").

As per claims 4 and 15, Asokan et al. – Kung et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses wherein the main wireless terminal is a creator of the ad-hoc group (P 18, "for example,...the leader Mn has a greater say in the final session key...before finding one that leads to a particular type of K" and "In some ad-hoc networks there may already be a natural leader or ordering").

As per claims 5 and 16, Asokan et al. – Kung et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further inherently discloses wherein when the main wireless terminal is withdrawn from the ad-hoc group, the main wireless terminal transfers a function of key distribution center to a sub wireless terminal selected from among the (N-1) sub wireless terminals, so that the sub wireless terminal

Art Unit: 2435

acts as the main wireless terminal (P16, "Therefore, when there is no a.priori leader or ordering...The general approach...This computation can be car- and P17, "ried out...to their distance from the reference value" and P20, "If groups are dynamic, the session key needs to updated when the composition of the group changes").

As per claims 8 and 17-18, Asokan et al. – Kung et al. discloses a method/system as applied in claims 1 and 12. Asokan et al. further discloses:

if the first group key is created, encoding a second group key request message from one of the (N-1) sub wireless terminals, and transmitting the encoded second group key request message to the main wireless terminal (Page 5, "B extracts Ea, generates R randomly, encrypts it with Ea, and returns it to A in step 2");

decoding the second group key request message, using the first group key, in the main wireless terminal (P5, "The goal of the protocol is for A and B to mutually authenticate each other based on P, and to agree on a strong session key K...each player will compute the session key as K=f(Sa, Sb)"); and

creating a second group key according to the decoded second group key request message, in the main wireless terminal (P6, "an additional round...he shares with them").

As per claim 9, Asokan et al. – Kung et al. discloses the claimed method of steps as applied above in claim 1. Therefore, Asokan et al. discloses a computer readable

Art Unit: 2435

medium having embodied thereon the claimed computer program for carrying out the method of steps.

As per claim 10, Asokan et al. – Kung et al. discloses the claimed method of steps as applied above in claim 3. Therefore, Asokan et al. discloses a computer readable medium having embodied thereon the claimed computer program for carrying out the method of steps.

As per **claim 11**, Asokan et al. – Kung et al. discloses the claimed method of steps as applied above in claim 8. Therefore, Asokan et al. discloses a computer readable medium having embodied thereon the claimed computer program for carrying out the method of steps.

As per claim 21 and 23, they are rejected using the same rationale of rejecting claims 1 and 12 above.

As per claims 24-25, they are rejected using the same rationale of rejecting claims 1. 8. 12 and 17 above.

15. Claims 7, 20 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Asokan et al. – Kung et al. as applied to claims 1-6, 8-10 and 11-19 and 21 above, further in view of Schneier ("Applied Cryptography" second edition, 1996)

As per claims 7, 20 and 22, Asokan et al. further disclose (P4 "In a landmark paper [4], Bellovin and Merrit....encrypted key exchange (EKE) and P5 "But the basic form of the generic protocol remains the same." Inherently, Asokan et al. teaches after the protocol is complete, the multi parties must communicate using the session key (the

Art Unit: 2435

second group key) to encoding data and transmitting the encoded data among the N wireless terminals since the protocol is using encrypted key exchange (EKE), a well known protocol invented by Bellovin and Merrit disclosed on the P4 of the Asokan et al. reference). In another word, Asokan et al. disclose transmitting the encrypted key to the N-1 sub wireless terminals.

The difference between the claimed invention and that disclosed in Asokan et al. – Kung et al. is the latter does not disclose the claimed feature of the modified second group key is encoded using a non-modified second group key, and the encrypted key is the encoded second group key. However, such missing feature in Asokan et al. – Kung et al. is clearly taught section 8.6 Updating keys on page 180, of the aforementioned Schneier reference, the same field endeavor of key management in the network environment. It would have been obvious for a person having ordinary skill in the art to incorporate such well known feature as taught in the Schneier reference into the Asokan et al. – Kung et al. method motivated by to provide "an easier solution is to generate a new key from the old key" (Schneier, Section 8.6 on page 180).

Response to Arguments

- Applicant's arguments filed 6 November 2008 have been respectfully and fully considered but they are not persuasive.
- The Applicant's arguments are summarized as below:
 - a. Neither the Aokan et a. reference nor the Menezes et al. or the Billhartz et al. reference or Kung et al. reference, whether alone or in any combination, teach or even remotely suggest transmission of a modified second group key

Art Unit: 2435

14).

as currently recited in independent claims 1, 12, 21 and 23. In particular, the cited references do not teach that at least one modified second group key, which is transmitted using the initial second group key, is transmitted and used during use of the first group key (remark, pages 11-12 and pages 13-

Page 29

- b. Applicant disagree with the interpretation in the outstanding Office action that since "a session key in the Asokan et al. reference is for fixed periods and the password is always present" (remark, page 12).
- Schneier reference does not teach encoding and transmitting a modified key with an initial key (remark, pages 12 -13).
- d. Dependent claims are allowable due to dependency (remark, pages 13-14)

In response to argument 'a', the examiner respectfully disagrees. First, the examiner respectfully points out the amended claims recite "transmitting the at least one modified second group key to the (N-1) sub wireless terminals using the initial second group key" not at least one modified second group key, which is transmitted using the initial second group key, is transmitted and used during use of the first group key as argued by the Applicant. It appears to the examiner the Applicant misinterpreted his

own amendment. For the sake of the argument, even at least one modified second group key, which is transmitted using the initial second group key is being recited in the amendment, the examiner respectfully asks the Applicant where in the original disclosure such amendment is supported? Please see page 10, paragraph [0025] of the instant specification. In the cited instant specification, the Applicant discloses the modified second group key is encoded using the non-modified second group key, and is transmitted to the N-1 wireless terminals in the ad-hoc network. In another word, the initial second group key is not for transmitting. Second, in order not to repeat herself, the examiner respectfully invites the Applicant to read examiner's citation and rationale to support her position in addressing this claim amendment above.

In response to argument 'b', the examiner respectfully disagrees. The examiner begins by considering the scope and meaning of the term "predetermined modification time period", which must be given their broadest reasonable interpretation consistent with Applicant's disclosure, as explained in *In re* Morris, 127 F. 3d 1048, 1054 (Fed. Cir. 1997) and see also *In re* Zletz, 893 F. 2d 319, 321 (Fed. Cir. 1989), in which stating the claims must be interpreted as "broadly as their terms reasonably allow".

Applicant's specification states the following on page 10:

Art Unit: 2435

[0026] By modifying a second group key according to a predetermined modification time period, a second group key generated from a main wireless terminal is used only during a predetermined time period, and is discarded after the time period expires.

The examiner further states, "the ordinary meaning of a claim term is its meaning to the ordinary artisan after reading the entire patent." *Phillips V. AWH Corp.*, 415 F. 3d 1303, 1321 (Fed. Cir. 2005).

Upon reviewing Applicant's Specification, the examiner fails to find any definition of the term "predetermined modification time period" – that is different from the ordinary meaning. The examiner finds the ordinary meaning of the term "predetermined modification time period" is best found in the dictionary. The examiner notes that the definition most suitable for "time period" is a period of time, which can be more or less definite period of time, such as a session. Consequently, the examiner construes "predetermined modification time period" is a time period, which can be more or less definite period of time, decide in advance for key modification. In another word, the key is no longer valid after the session. Please note the Applicant does not explicitly disclose such predetermined time period is a fixed time period. As such, to an ordinary skill in the art at the time of the invention, a predetermined time period can be broadly interpreted as a period of time decide in advance, which can be a more or less definite period of time.

Asokan et al. - Menezes et al. discloses such features by disclosing a session key and ("Cryptoperiods, long-term keys, and short-term keys...The cryptoperiod of a

Art Unit: 2435

key is the time period over which it is valid for use by legitimate parties...Cryptoperiods may serve to....4. limit the time available for computationally intensive cryptanalytic attacks...short-term keys. These include keys established by key transport or key agreement, and often used as data keys or session keys for a single communication session...Cryptoperiods limit the use of keys to fixed periods, after which they must be replaced....13.11 Remark...The term short as used in short-time keys refers to the intended time of the key usage by legitimate parties, rather than the protection lifetime...For example, an encryption key used for only a single session..." – e.g. page 553 of Menezes et al.

Asokan et al. - Billhartz et al. discloses such features by disclosing a session key and "Of course, the secret key may be **periodically (e.g. daily, monthly, etc.) changed** in some embodiments, if even further security enhancements are desired..."

– e.g. lines 5-7 of par. [0043] and please also note secret key in the Billhartz et al. reference is "shared between wireless stations ...The secret key is used to encrypt data packets..." in par. [0026]).

Asokan et al. - Kung et al. discloses such features by disclosing a session key and ("...As such, the encryption key...may be repeatedly updated and changed at various time intervals. The repeated updates may be at periodic (e.g., daily)..." – e.g. col. 34, lines 25-46 and col. 2,, lines 46-58 of Kung et al.).

Clearly, contrary to Applicant's argument, all three sets of rejections addressed such feature recited in the claims.

Art Unit: 2435

In response to argument 'c', the examiner respectfully disagrees. First, the examiner respectfully points out encoding and transmitting a modified key with an initial key as argued by the Applicant is not in the claims. Instead, the amended claims recite "the at least one modified second group key is encoded using the initial second group key, transmitting the encoded modified second group key to the N-1 sub wireless terminals". For the sake of the argument, even at least one modified second group key, which is transmitted using the initial second group key is being recited in the amendment, the examiner respectfully asks the Applicant where in the original disclosure such amendment is supported? Please see page 10, paragraph [0025] of the instant specification. In the cited instant specification, the Applicant discloses the modified second group key is encoded using the non-modified second group key, and is transmitted to the N-1 wireless terminals in the ad-hoc network. In another word, the initial second group key not for transmitting. Second, in order not to repeat herself, the examiner respectfully invites the Applicant to read examiner's citation and rationale to support her position in addressing this claim amendment above.

In response to argument 'd', the examiner respectfully traverses.

Applicant's argument for claims 1, 12, 21 and 23 as discussed above are traversed and therefore, the Applicant's arguments for dependent claims are based on dependency on claims are traversed and they are not ready for allowance.

Application/Control Number: 10/613,125 Page 34

Art Unit: 2435

Conclusion

 THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to APRIL Y. SHAN whose telephone number is (571)270-1014. The examiner can normally be reached on Monday - Friday, 8:00 a.m. - 5:00 p.m., EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Y. Vu can be reached on (571) 272-3859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2435

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/April Y Shan/ Examiner, Art Unit 2435

/Kimyen Vu/

Supervisory Patent Examiner, Art Unit 2435